ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN

Methane Recovery and Power Generation Project

Ref. No. 5979-0030 CPA-37 Methane Recovery and Combustion with Renewable Energy Generation from Anaerobic Animal Manure Management Systems under the Land Bank of the Philippines' Carbon Finance Support Facility

LIST OF ACRONYMS

- BOD Biological Oxygen Demand
- CDM Clean Development Mechanism
- CER Certified Emission Reduction
- CFSF Carbon Finance Support Facility
- CMR Compliance Monitoring Report
- CPA Component Project Activity
- DENR Department of Environment and Natural Resources
- DNA Designated National Authority
- DP Discharge Permit
- ECC Environmental Compliance Certificate
- EMB Environmental Management Bureau
- EPMD Environmental Program and Management Department
- ESMP Environmental and Social Management Plan
- ESSF Environmental and Social Safeguards Framework
- INEC Ilocos Norte Electric Cooperative
- LBP Land Bank of the Philippines
- MOA Memorandum of Agreement
- MRF Methane Recovery Facility
- MSDS Materials Safety Data Sheet
 - PCO Pollution Control Officer
 - P.D. Presidential Decree
 - PoA Program of Activity
 - PPE Personal Protective Equipment
 - PTO Permit to Operate
 - R.A. Republic Act
 - SMR Self-Monitoring Report
 - SPA Subproject Agreement
 - TSD Treatment, Storage, Disposal
- TSS Total Suspended Solids
- WWTF Water Treatment Facility

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PURPOSE OF THE DOCUMENT

This Environmental and Social Management Plan (ESMP) is prepared as part of the requirements of the Safeguards Framework for Clean Development Mechanism (CDM) projects implemented under the Carbon Finance Support Facility (CFSF) of the Land Bank of the Philippines (LBP). The Environmental and Social Safeguards Framework (ESSF) was developed to ensure the establishment of protection, compliance, and mitigation measures for relevant environmental and social aspects of projects under the CDM program which covers the Methane Recovery and Power Generation Project of CPA 37 (Pig Farm).

Scope

Since the Methane Recovery and Power Generation Project is a key component of CPA 37's wastewater treatment facility (WWTF) – which handles the primary waste (manure) the pig farm produces – this ESMP will cover the operations of the entire pig farm described herein, highligghting the management of impacts attributable to or associated with the Project.

1 PROJECT SUMMARY

The Methane Recovery and Power Generation Project of CPA 37 is an initiative developed under LANDBANK's CFSF. Its goal is to capture greenhouse gases, particularly methane from piggery wastewaters that would otherwise dissipate into the atmosphere, and convert them into electrical energy.

1.1 **Proponent Profile**

Proponent:	CPA 37
Business Address:	Tupi, South Cotabato, Philippines
Project Site:	Tupi, South Cotabato, Philippines
Project Type:	Livestock Project

Philippine Standard Industrial Classification: 0145 - Hog Farming

Contact Persons

LANDBANK

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1.2 **The Pig Farm**

CPA 37 operates under Environmental Compliance Certificate (ECC) No. ECC-R12-1608-0011. It is licensed to house a total of 20,000 heads. The Farm occupies an area measuring roughly $151,607 \text{ m}^2$.

Water for pig production and general farm activities are mainly sourced from two to four deep wells within and without the property. It is largely powered through a grid by South Cotabato II Electric Cooperative, Inc. (SOCOTECO II), but will soon also utilize electricity from biogas generated through the Project. Figure 1 shows the site layout of the Farm.



Figure 1. Site layout of CPA 37

1.3 **Project Description**

The Project covers the installation operation of an anaerobic digester system and its ancillary facilities, including post-treatment wastewater lagoons and a biogas-fueled electricity generation system. The biodigester and the power generation unit are collectively referred to herein as methane recovery facility (MRF).

1.3.1 Components and Design

CPA 37's wastewater treatment process features three treatment phases:

- Pre-Treatment, which involves mechanical removal of indigestible materials and large digestible particles in wastewaters prior to entering the reactors;
- Anaerobic digestion, or the disintegration of biodegradable materials in the wastewaters through biological processes facilitated by microbes which thrive in the conditions promoted by the reactor; and
- *Post-Treatment* of biogas, effluent, and sludge, the by-products of anaerobic digestion.

The WWTF mainly consists of a sand trap, a covered earthen lagoon (biodigester), and two earthen clarifying lagoons (see Fig 2). The MRF basically consists of a biogas-fueled generator set.



Figure 2. Wastewater treatment facility of CPA 37

Wet digestion is likely employed. Anaerobic process is likely mesophilic, occurring at around 30-40 °C. At this temperature range, the ideal retention time is 30-40 days.

The anaerobic digester was intended to accommodate wastes generated by the maximum number of pigs the farm could house (20,000 heads) and capture enough biogas to run the Project's facilities with a net energy requirement of zero. Once the construction of the WWTF is completed, an assessment of the system's performance will be undertaken to determine operational parameters and outputs. Results will be presented in the

succeeding version of this ESMP. The design and layout of the WWTFs are in the construction plans in Appendix A.

1.3.2 **Operation**

Wastewaters are collected in underfloor pits that are emptied via pull-plug systems. They flow through concrete channels above or underground through a sand trap prior to entering the biodigester. Stirring inside the fermentation chamber is passive, facilitated by the current produced by the inflow and outflow of feedstock.

Partially treated wastewaters exit the biodigester through pipes that lead to a series of two open lagoons for clarification and storage (indefinitely).

Captured biogas in the biodigesters is refined in a gas conditioning system and then propelled into an engine that uses it to generate electricity used to power the farm.

Sludge is removed from the anaerobic lagoon through gravity release pipes and is piled onto a concrete bed for drying. Dried sludge will be used as soil amendment.

Figure 1 illustrates the current processes involved and the Project components employed in the wastewater treatment and power generation process in CPA 37.

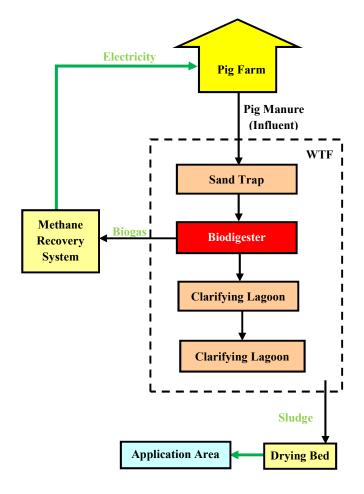


Figure 3. Wastewater treatment and power generation process in CPA 37

1.4 **Project Site (Existing Environmental Conditions)**

CPA 37 is located in Tupi, South Cotabato. South Cotabato is in the southern portion of the island of Mindanao in the Philippines.

1.4.1 Land Classification and Use

The site of CPA 37 is classified as agroindustrial. Wide pineapple plantations surround the property.

1.4.2 Climate

Köppen-Geiger system classifies the climate in Tupi as Tropical rainforest (Af).¹ There is no pronounced dry season in the area and it receives rain all year round. Tupi has an average annual temperature of 25.4 °C and an average annual rainfall of 1584 mm.¹ Tupi has a cool microclimate owing to its altitude.

1.4.3 **Topography and Soil**

The Farm is situated on a rolling terrain. Ground elevation inside the property ranges from about 75 m to 110 m asl. Slopes reach up to 20°.

Soil in the farm is sandy / sandy loam characterized by moderate drainage and slow to moderate permeability.² Erosion of the top soil is common during rainy seasons.

1.4.4 Water Resources

The landscape of the property features a number of gulleys and natural ditches through which rainwater and sediments flow down into the surrounding lower grounds.

A creek about 1.5 km southwest downslope of the Farm seems to be the closest recipient of runoff that may come from the Farm.

The Farm's main source of water are the deep wells within and without its premises.

1.4.5 Natural Hazards

Tupi has low susceptibility to typhoons and earthquakes. However, the gulleys and dains within the property makes its at risk to intermittent flooding, especially during rainy seasons.

1.4.6 **People and Communities**

There are a few sporadically situated houses within the 500-m radius of the Farm. There is however a small community of around 20 households situated just beside one of the property's perimeter wall. Immediate community subsists through farming and employment in food production industries in the area.

2 ENVIRONMENTAL MANAGEMENT

2.1 Impacts

2.1.1 **Positive Impacts**

Environment

The primary treatment of pig wastes of CPA 37 is accomplished mainly through the Project's facilities. Anaerobic digestion helps ensure that the Farm's effluents meet regulatory quality standards. Foul odors emanating from stored effluents are significantly abated, improving working conditions for workers and the general envronment for the Farm's neighboring communities and livestock.

By providing a mechanism to capture methane and using it as a renewable source of energy, the Project is helping lower the Farm's overall carbon footprint – through preventing release of greenhouse gases into the atmosphere and decreasing its consumtion of conventional fuels (for power).

Economy

Using biogas-generated electricity lessens the Farm's reliance on the grid, translating to savings for the piggery business. Sludge on site eliminates the need to purchase fertilizer for the Farm's vegetation. Selling it as soil amendment presents an opportunity to generate additional income. Further savings may also be gained from reusing treated effluent.

Moreover, having been being registered as a component project activity (CPA) in the CDM Program, CPA 37 has an opportunity to earn monetary incentives by selling carbon credits to World Bank. It may also opt to trade its carbon credits in the wider carbon market after the Program.

Lastly, CPA 37 provides employment opportunities to residents of Tupi and even to people from the other regions in Mindanao. It also generates significant revenue for the local government.

2.1.2 Negative Impacts

Certain aspects of the Pig Farm's and the Project's operations inevitably result in potential harm to the environment, including generation wastewaters; hazardous and non-hazardous wastes; air pollutants; foul odors, noise, dust and other nuisance; and depletion of natural resources, especially freshwater / groundwater. These pose inherent risks of variable degrees to environmental quality and natural ecosystems and health and safety of workers, communities, and livestock.

A. Wastewater Generation

Wastewaters saturated with dissolved manure and feed materials are primarily generated from raising pigs through intensive farming methods.

B. Solid Wastes Generation

Pig manure, sludge from wastewater treatment, and carcasses make up the bulk of solid wastes generated in the Farm.

C. Hazardous Wastes Generation

Generation of potentially hazardous wastes mainly result from veterniary activities and use of various chemicals for cleaning and for maintenance of machineries. Biological materials from diseased pigs also pose significant risks to the health of workers and livestock.

D. Generation of Air Pollutants

Emssions from diesel- and biogas- fueled generator sets which supplement the grid for the Farm's power requirements are the main sources of air pollutants in the Farm.

- E. Risks to Environmental Quality
 - Pollution. The inadvertent release to the environment (through breaches and leaks) of the wastes listed above, especially of nutrient-rich meterials, may cause serious damage to the quality of affected soil and aquatic resources.

The project site features natural and constructed slopes and drains that make it vulnerable to flashfloods and significant erosion caused by heavy rains and runoffs. These may result in siltation of watercourses in the surrounding lower ground. Long periods of heavy rainfall could overtop open wastewater lagoons and wash off improperly contained sludge piles. Strong winds may also damage WWTF and MRF causing release of pollutants.

- Global warming. Large amount of biogas, mostly composed of potent greenhouse gases, are produced during the anaerobic decomposition pig manure and other organic compounds. If allowed to escape to the atmosphere, these gases will contribute to the furthering of the deteriorating effects of global warming. Use of power from the grid consumes non-renewable fuels which generate greenhouse gases when processed for electricity production.
- Resource depletion. Intensive farming demands for significant volume of freshwater. Neglectful sourcing and use of water in the Farm could deplete water resources.

F. Health and Safety (Methane Recovery Facility) Biogas is a mixture of gases produced during anaerobic dig

Biogas is a mixture of gases produced during anaerobic digestion. It is mainly composed of methane and carbon dioxide, but other gases (nitrogen, hydrogen, hydrogen sulphide, ammonia, etc.) may also be present at lower concentrations.

- Fire and Explosion. The MRF presents a major fire and explosion hazard in the farm owing to the high concentrations of biogas (primarily consists of methane which is highly flammable and combustible) that it is designed to capture and process. Risk of explosion is elevated in areas where biogas is compressed for storage.
- Asphyxiation and Poisoning. Methane and carbon dioxide are asphyxiants, substances that cause suffocation by displacing oxygen in the ambient air. Furthermore, carbon dioxide and hydrogen sulfide are considered poisonous when inhaled at high concentrations. In the farm, risks of asphyxiation and gas poisoning are high in the areas associated with the MRF and in confined spaces and poorly ventilated areas where fugitive biogas may collect.

- ¬ Infection and Infestation. Handling and processing of manure, wastewaters, and sludge expose workers to various pathogens and parasites.
- G. Health and Safety (General Operations)

Various elements and situations in the Farm could compromise the health and safety of workers and livestock. The comfort and convenience of surrounding communities may also be affected by impacts not contained by the Farm's boundaries.

- Odor, Noise, Dust. Fould odors are typically emitted from manure drains and storage and unclean pig houses. Loud noises may be produced by pigs (especially during feeding) and farm machines. Dust is generated from handling feeds and other dusty materials and by movement of vehicles on unsealed roads.
- Pests and vermin. Pests and vermin are attracted to foul odors and sources of food in the Farm (improperly disposed biodegradable wastes and Inadequately contained food and feed materials).
- Diseases and Injuries. Livestock, pathological materials, and excretions likely harbor harmful organims. Various injuries could result from accidents, particularly when handling pigs, operating machineries, and using toxic substances.

2.2 **Due Diligence**

CPA 37 hereby commits to undertake due diligence in its dealings and operations through compliance with relevant regulatory safeguards and implementation of the environmental management and monitoring plan in Table 3 and of other relevant provisions herein.

2.2.1 Legal Framework

CPA 37 operates in the context of laws prescribing the regulatory safeguards in Tables 1 and 2.

DOCUMENT	PARTICULARS / STAT	rus
Environmental Compliance	Reference No.	ECC-R10-1608-0011
Certificate (ECC)	Issuing Agency	EMB Region 12
	Date of Issuance	September 9, 2016
	Valid Until	- no expiration -
	Conditions	• area of operation: 151,517 m ²
		 maximum population: 20,000 heads
		 creation of MMT and EMF
Discharge Permit (DP)	Reference No.	AVAILABLE AND UP TO DATE
	Issuing Agency	EMB Region 12
	Date of Issuance	February 2019
	Valid Until	February 2020
	Conditions	•
Permit to Operate (PTO) Air	Reference No.	AVAILABLE AND UP TO DATE
Pollution Source Control	Issuing Agency	EMB Region 12
Installations	Date of Issuance	February 2019
	Valid Until	February 2024
	Conditions	- For the following equipment:
		 (1 unit) biogas genset
		 (1 unit) diesel-fueled genset
Water Permit	Reference No.	<for application=""></for>
	Issuing Agency	National Water Resources Board
	Date of Issuance	-
	Valid Until	- no expiration -
	Conditions	(P.D. 1067 Water Code)
Hazardous Waste Generator	Registration No.	M-GR-R12-63-00775
ID	Approving Agency	EMB Region 12
	Date of Approval	March 18, 2019
	Valid Until	- no expiration -
	Conditions	 used industrial oil, sludge (I101)
		 pathological or infectious wastes (M501)
		 pharmaceuticals and drugs (M503)
		special wastes (M507)
PCO (Pollution Control	Accreditation No.	2018-R12-0166
Officer) Accreditation	Issuing Agency	EMB Region 12
Certificate	Date of Issuance	September 10, 2018
	Valid Until	September 10, 2021

Table 1. Environmental documents and statutory requirements regulating the operation of CPA 37

Environmental Management Bureau Environmental Monitoring Fund Multipartite monitoring team Presidential Decree Self-Monitoring Report EMB EMF MMT P.D. SMR

DOCUMENT	PARTICULARS			
Business Permit	Permit No.	AVAILABLE AND UP TO DATE		
	Issuing Agency	Office of the Mayor - Municipality of Tupi		
	Date of Issuance	January 2019		
	Valid Until	December 31, 2019		
	Prerequisites	compliance with the requirements of the		
		following:		
		Building Permit		
		Occupancy Permit		
		Zoning Clearance		
		Sanitary / Health Certificate		
		Fire Safety Inspection Certificate		
Zoning Clearance	Registration No.	AVAILABLE AND UP TO DATE		
	Approving Agency			
	Date of Approval			
Fire Clearance	Reference No.	AVAILABLE AND UP TO DATE		
	Issuing Agency	Bureau of Fire Protection Regional Office 12		
	Date of Issuance	January 2019		
	Valid Until	December 31, 2019		
	Prerequisites	compliance with R.A. 9514 (Revised Fire Code)		
Sanitary Permit	Permit No.	AVAILABLE AND UP TO DATE		
	Issuing Agency	Municipal Health Office – Municipality of Tupi		
	Date of Issuance	January 2019		
	Valid Until	December 31, 2019		
	Prerequisites	compliance with P.D. 522 ('Sanitation		
		Requirements'), P.D. 856 (Code on Sanitation),		
		and pertinent local ordinances		

Table 2. Permits ensuring the safety of CPA 37's facilities and operation

ENRO Environment and Natural Resources Office

P.D. Presidential Decree

R.A. Republic Act

2.2.2 Environmental Management and Monitoring Plan

Table 3 presents the measures CPA 37 is implementing and intends to implement to address the environmental risks and impacts identified in Section 2.1.2. Adequate training will be given to concerned employees to ensure that the content of this environmental management plan will be properly carried out.

Table 3. Environmental Management and Monitoring Plan of CPA 37

				STATUS	-					
ІМРАСТ	SOURCE / ACTIVITY	MEASURES	Existing / Current Practice Construction To be Implemented / Under Construction			FREQUENCY	PARAMETER / INDICATOR	RESPONSIBLE ENTITY	REPORTING TO	Cost^, Php
A. Wastewater				Construction						
a.1 generation of	pig raising	water conservation strategies	√		quantify wastewater	monthly	volume of wastewater	PCO	Operations Manager	(Project cost)
wastewater		treatment of wastewater in WWTF	✓		production		produced		> reported in SMR	
a.2 generation of domestic	general farm activities	water conservation strategies	✓		check siphoning and hauling	every 5 years	volume of sewage hauled	PCO	Operations Manager	-
wastewater		lined sewage septic tanks		✓	records				> reported in SMR	
		sewage disposal to treatment plant		✓						
B. Solid Waste										
b.1 generation of manure,	pig raising, feed wastage,	minimize feed wastage	~		quantify (dried) sludge	annually	amount of sludge produced	Maintenance	PCO	(Project cost)
sludge	WTF	- automated feeding system treatment of manure in WWTF	✓		produced				> reported in SMR	
b.2 generation of (non-	injuries, adverse	observe sound pig raising practices and biosecurity	•		weigh disposed materials	daily	weight of materials disposed	Maintenance	PCO	
infectious) carcasses, blood	environmental conditions, etc.		✓		weigh disposed materials	ually	weight of materials disposed	Wantenance	 reported in SMR 	-
intectious) carcasses, blood	environmental conditions, etc.	regular inspection and preventive maintenance of			-					
		equipment regulating pig environment	~							
		carcass, pathological materials disposal through burial	✓							
b.3 generation of general	general farm activities	waste segregation	✓		weigh solid wastes disposed	every hauling	weight / details on wastes	Maintenance	PCO	(cost of hauling and
solid wastes	5	adequate collection bins, proper storage	~		of (recyclables and residuals)		generated, stored, and		> reported in SMR	dumping)
		reuse, recycling / selling of recyclables	✓				disposed of			
		residuals hauled to the sanitary landfill	✓							
		composting	✓							
C. Hazardous Materials			1						-	
5	facilities' operation and	monitors resource usage to avoid expiration of	×		quantify each type of	every hauling and	quantity of each hazardous	Maintenance	PCO	(cost of disposal throug
	maintenance	chemicals	-		hazardous waste produced /	disposal	waste type stored and disposed		> reported in SMR	TSD)
		disposal through accredited TSD		✓	stored and disposed of (check					
		reusing, recycling (for various construction and	~		hazardous waste manifests)					
		maintenance activities)			-					
c.2 generation of	veterinary activities,	disposal through burial	1							
infectious, pathological wastes, carcasses	infections, outbreaks		· ·							
D. Air Pollution										
d.1 generation of air	vehicles, stand-by generator	operates equipent according to manufacturer's			review inspection and	quarterly	number and details of	PCO	Operations Manager	(cost of maintenance,
pollutants	sets (fossil fuel combustion)	instruction	✓		maintenance record	. ,	machinery issues noted		operations manager	including salaries)
		regular inspection and preventive maintenance of	1				,			5,
			v 🗸							
		equipment								
E. Risk of Environmental D	egradation	equipment								
e.1 surface water and	e.1.1 wastewater collection,	WWTF constructed with durable materials	✓		effluent sampling and testing		effluent quality indicators:	PCO	Operations Manager	(cost of maintenance,
e.1 surface water and groundwater quality	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed	✓ ✓		by an EMB-accredited	- more frequently	BOD, TSS, ammonia,	РСО	Operations Manager > reported in SMR	(cost of maintenance, including salaries)
e.1 surface water and groundwater quality degradation, disruption of	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of			1 5 5		BOD, TSS, ammonia, phosphate	РСО	1 3	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF	√		by an EMB-accredited	- more frequently	BOD, TSS, ammonia, phosphate (must meet standards for	PCO	1 3	
	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation	✓ ✓	✓ · · · · · · · · · · · · · · · · · · ·	by an EMB-accredited	- more frequently	BOD, TSS, ammonia, phosphate	PCO	1 3	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation	√	✓	by an EMB-accredited	- more frequently	BOD, TSS, ammonia, phosphate (must meet standards for	PCO	1 3	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons	✓ ✓ ✓		by an EMB-accredited	- more frequently	BOD, TSS, ammonia, phosphate (must meet standards for	PCO	1 3	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan	✓ ✓ ✓ ✓	✓	by an EMB-accredited laboratory	- more frequently during rainy seasons	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent)		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of	✓ ✓ ✓	✓	by an EMB-accredited laboratory review inspection and	- more frequently during rainy seasons monthly	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak /	PCO Maintenance	1 3	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed	✓ ✓ ✓ ✓	✓ ✓ ✓	by an EMB-accredited laboratory	- more frequently during rainy seasons monthly - more frequent	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent)		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from	✓ ✓ ✓ ✓	✓	by an EMB-accredited laboratory review inspection and	- more frequently during rainy seasons monthly	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak /		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater	✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	by an EMB-accredited laboratory review inspection and	- more frequently during rainy seasons monthly - more frequent	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak /		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from	✓ ✓ ✓ ✓	✓ ✓ ✓	by an EMB-accredited laboratory review inspection and	- more frequently during rainy seasons monthly - more frequent	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak /		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan	✓ ✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	by an EMB-accredited laboratory review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan	✓ ✓ ✓ ✓ ✓		by an EMB-accredited laboratory review inspection and maintenance record review inspection and	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents		> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan	✓ ✓ ✓ ✓ ✓ ✓		by an EMB-accredited laboratory review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents	Maintenance	> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan cestablish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓		by an EMB-accredited laboratory review inspection and maintenance record review inspection and	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents	Maintenance	> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes, carcass disposal, leachate	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site has and implements contingency response plan	✓ ✓ ✓ ✓ ✓ ✓		by an EMB-accredited laboratory review inspection and maintenance record review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent during rainy season	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents number and details of leak / breach incidents	Maintenance Maintenance	 reported in SMR PCO 	-
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes, carcass disposal, leachate e.1.4 handling, transport,	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site has and implements contingency response plan use materials according to registered use /	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓		by an EMB-accredited laboratory review inspection and maintenance record review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents number and details of leak / breach incidents	Maintenance	> reported in SMR	
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes, carcass disposal, leachate e.1.4 handling, transport, storage, disposal of	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site has and implements contingency response plan use materials according to registered use / manufacturer's instruction			by an EMB-accredited laboratory review inspection and maintenance record review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent during rainy season	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents number and details of leak / breach incidents	Maintenance Maintenance	 reported in SMR PCO 	including salaries) (cost of signage cost)
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes, carcass disposal, leachate e.1.4 handling, transport, storage, disposal of hazardous and infectious	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site has and implements contingency response plan use materials according to registered use / manufacturer's instruction MSDS available and consulted			by an EMB-accredited laboratory review inspection and maintenance record review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent during rainy season	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents number and details of leak / breach incidents	Maintenance Maintenance	 reported in SMR PCO 	-
e.1 surface water and groundwater quality degradation, disruption of soil properties,	e.1.1 wastewater collection, transport, treatment, disposal e.1.2 sludge management, storage, leachate e.1.3 pathological wastes, carcass disposal, leachate e.1.4 handling, transport, storage, disposal of	WWTF constructed with durable materials operates WWTF as prescribed regular inspection and preventive maintenance of WWTF adequate rainwater and wastewater separation adequate groundwater and wastewater separation establish vegetation (filter strips) around lagoons has and implements contingency response plan regular inspection and preventive maintenance of drying bed adequate separation of storage from surface/groundwater establish vegetation (filter strips) around drying bed and storage has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site establish vegetation (filter strips) around disposal site has and implements contingency response plan disposal through burial create diversion banks, drains around disposal site has and implements contingency response plan use materials according to registered use / manufacturer's instruction			by an EMB-accredited laboratory review inspection and maintenance record review inspection and maintenance record	- more frequently during rainy seasons monthly - more frequent during rainy seasons monthly - more frequent during rainy season	BOD, TSS, ammonia, phosphate (must meet standards for Class C effluent) number and details of leak / breach incidents number and details of leak / breach incidents	Maintenance Maintenance	 reported in SMR PCO 	including salaries) (cost of signage cost)

		regular inspection of storage, disposal facilities	✓								
		has and implements contingency response plan	✓			_					
		adequate training on handling hazardous materials		✓		-					
	e.1.5 natural hazards	adequate runoff channels		✓		review inspection and	monthly	details of inspection report	Maintenance	PCO	(cost of slope protection
		slope protection measures		✓		maintenance record	- more frequently				(
		plant / maintain vegetation along / on sloping areas	✓			_	during rainy seasons				
2 (release of GHGs)	e.2.1 anaerobic digestion,	biogas sequestered using biodigester	✓			review inspection and	monthly	number and details of leak /	Maintenance	Operations Manager	(cost of maintenance,
	biogas collection and	MRF constructed with durable materials	✓			maintenance record	montany	breach incidents (odor	maintenance	operations manager	including salaries)
	utilization, fugitive biogas	operate MRF as prescribed		 ✓ 				detection)			including subines,
	utilization, lugitive biogus	regular inspection and preventive maintenance of		-		_		detectiony			
		MRF	\checkmark								
		has and implements contingency response plan	√			_					
		has flare	•	✓		_					
			✓	v		and in the life of state of a state	an e a the base		Maintenance	On a mati a ma Mana a ma	
	e.2.2 use of electricity from	energy conservation strategies				review billing statement	monthly	kWh consumption	Maintenance	Operations Manager	-
2	grid	uses renewable fuel (biogas from MRF)	✓							>reported in SMR	(1)
3 groundwater depletion		water conservation strategies	√			quantify volume of freshwater	monthly	volume of freshwater	PCO	Operations Manager	(flow meter cost)
	activities	effluent recycling		✓		consumption		consumed		>reported in SMR	
		rainwater harvesting			✓						
	erobic Digester System										
explosion, fire hazard	biogas collection, storage,	WWTF-MRF constructed with durable materials	✓			review inspection and	monthly	number and details of	Maintenance	Operations Manager	(signage cost)
	combustion	operates WWTF-MRF according to design		✓		maintenance records, incident		explosion, fire incidents			
		regular monitoring of pressure within the MRF system	✓			reports, complaints register					(cost of fire protection
		regular inspection and preventive maintenance of									equipment)
		MRF	✓						and details of s and details of h, infestation incidents Maintenance Operations Manager (co (co inc (co inc (co inc (co (co (co (co (co (co (co (co (co (c		
		restricts access to MRF		✓		-				(cost of maintenance,	
		prohibits ignition sources near MRF	✓			-					including salaries)
		'no smoking' policy / designated smoking area	✓			-					, , , , , , , , , , , , , , , , , , ,
		appropriate signage, warnings in place	✓			-					
		fire protection equipment on site	· ·			-					
			•			-					
acabusiation poisoning	hiana	adequate training on biogas safety		✓ ✓		review incident reports	no on the la	number and details of	Maintananca	Operations Manager	(cost of PPE)
asphyxiation, poisoning	biogas	appropriate signage, warnings in place		▼ ✓			monthly		wantenance	Operations Manager	(COST OF PPE)
		adequate training on biogas safety		v		_					
		pull-plug system for draining and desludging WWTF	✓			_		Incidents			(signage cost)
		use of appropriate PPE		✓ ✓							
3 infection, infestation	wastewater, sludge	appropriate signage, warnings in place		✓		review incident reports	monthly		Maintenance	Operations Manager	(cost of PPE)
		adequate training on handling infectious materials		✓		_		infection, infestation incidents			
		uses appropriate PPE		✓		review results of health	annually				(cost of employees'
						checks					health checks)
Health and Safety – Ger		1		1			1				
1 odor - nuisance,	g.1.1 pig houses, manure	regular cleaning, disinfection	\checkmark			review complaints register	every two weeks		PCO	Operations Manager	(cost of cleaning
scomfort, health issues		tunnel ventilated buildings	✓				- more frequent	complaints			materials)
		plant / maintain buffer trees / vegetation	✓				during typhoon				
		uses appropriate PPE		✓			(windy) season				(cost of seedlings)
	g.1.2 WTF, effluent, MRF	employs biodigester (traps odor and biogas)	✓								
	-	adequate retention time of wastewaters in the		×							(cost of PPE)
		biodigester		✓				Image: Second			
		regular inspection and preventive maintenance of	✓								(cost of maintenance)
		requiar inspection and preventive maintenance of									
		WWTF-MRF	~								
		WWTF-MRF	✓ ✓			_					
		WWTF-MRF prevent overtopping, spillage				-					
		WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation	√			-					
	a 13 decomposing materials	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE	√	· · · · · · · · · · · · · · · · · · ·		-					
	g.1.3 decomposing materials	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging	√	✓		-					
	(sludge and organic solids)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE	✓ ✓			-					
	(sludge and organic solids) g.1.4 decomposing materials	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial	√	✓ ✓		-					
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage	✓ ✓	✓ ✓ ✓		-					
.	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE	✓ ✓ ✓	✓ ✓							
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system	✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly	number and details of noise	РСО	Operations Manager	(cost of PPE)
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE	✓ ✓ ✓	✓ ✓ ✓		review complaints register	monthly	number and details of noise complaint	РСО	Operations Manager	
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding	✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of PPE) (cost of seedlings)
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding communities	✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of seedlings)
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses) g.2.1 pigs	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding	✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses)	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding communities	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of seedlings)
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses) g.2.1 pigs	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding communities plant / maintain buffer trees / vegetation	✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of seedlings)
	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses) g.2.1 pigs	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding communities plant / maintain buffer trees / vegetation operates equipment according to manufacturer's instruction	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of seedlings)
2 noise - nuisance, scomfort	(sludge and organic solids) g.1.4 decomposing materials (placental materials and carcasses) g.2.1 pigs	WWTF-MRF prevent overtopping, spillage plant / maintain buffer trees / vegetation uses appropriate PPE sludge pile is well aerated, prevent waterlogging uses appropriate PPE disposal through burial prevent leachate leakage uses of appropriate PPE automated feeding system uses appropriate PPE adequate spatial buffer from surrounding communities plant / maintain buffer trees / vegetation operates equipment according to manufacturer's	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓	✓ ✓ ✓ ✓		review complaints register	monthly		РСО	Operations Manager	(cost of seedlings)

		noise reduction equipment	\checkmark							
		uses appropriate PPE		✓						
g.3 dust - nuisance,	g.2.1 pig houses, feed	automated feeding system	\checkmark		review complaints register	quarterly	number and details of dust	Team Leaders	PCO	-
discomfort, health issues	handling	tunnel ventilated buildings	\checkmark			- more frequent	complaints			
		uses appropriate PPE		✓		during typhoon				
	g.2.2 composting areas, dried compost handling	limit dust-generating activities during day time, low wind movement	\checkmark			(windy) season				
		uses of appropriate PPE		✓						
	g.2.3 vehicles, machineries	sealing of unpaved roads		✓						
		limits vehiclular speed on unsealed roads	✓							
		limit dust-generating activities during day time	\checkmark							
		uses of appropriate PPE		\checkmark						
g.4 pest and vermin	decomposing materials, sources of odors	observes good houskeeping practices	\checkmark		review inspection results	monthly	equent number and details of incidents, complaints	Team Leaders	PCO	(cost of pest control)
oroliferation / infestation -		odor control measures	\checkmark		records and complaints	- more frequent				
nuisance, health issues		pest, vermin control measures	\checkmark		register	during rainy season				
g.5 health hazards, (risk of) contracting infectious	handling, transport, storage of hazardous and infectious	adequate training on handling of hazardous, infectious materials		~	review incident reports, inspection records and	monthly	number and details of illness injury incidents, complaints	s, PCO	Operations Manager	(cost of PPE)
liseases, sustaining	materials, movement of	uses appropriate equipment (including PPE) for			complaints register, results of	f				(cost of supplies for
njuries, livestock outbreak	carrier pests and vermin,	handling, storage of hazardous and infectious		✓	employees' regular health					biosecurity)
	handling of ill pigs	materials			checks					
		enforce, observe biosecurity, health and safety protocols	~							
		pest and vermin control measures	\checkmark							
g.6 drowning hazard	open ponds, lagoons, tanks	restricted access to WWTF		✓	review incident reports	monthly	number and details of	Maintenance	Operations Manager	(cost of signage)
		appropriate signage and warnings		✓		-	drowning incidents			

BODBiological Oxygen DemandMSDSMaterials Safety Data SheetPCOPollution Control OfficerPPEPersonal Protective EquipmentPLEPersonal Protective Equipment

SMRSelf-Monitoring ReportTSDTreatment, Storage, DisposalTSSTotal Suspended Solids

^ Indicative cost

2.2.3 **Contingency Response**

The following is an overview of the Farm's current preparation and plan of action in response to certain emergency incidents (see also Appendix B):

a. Fire

- Administration buildings, employees' quarters, and pig buildings are equipped with fire extinguishers.

b. Earthquake

- The open grounds around the farm may serve as evacuation areas for when an earthquake occurs.

c. Outbreak

- The Farm's veterinarian / animal specialist is immediately notified to assess the situation and give instructions for the workers to carry out.

d. Power outage

- Standby diesel and biogas-fueled generators are able to supply the farm's electricity needs.

e. Health emergencies

- First aid kits and medicines are available on site for minor health issues. Farm personnel have access to vehicles that can be used for transporting cases that may be needing more advanced medical care

Emergency services can be accessed in the town proper of Tupi after about a 5 to 10-min drive.

The Farms communication line is accessible to all workers. In the event that any of the listed emergencies occur, farm personnel are to report to the team leader of each production area or to their immediate supervisors. These, in turn, will alerting the proper authorities and emergency services near the property.

2.2.4 Occupational Health and Safety

CPA 37's risk management plan for general occupational health and safety issues associated with work in the Farm is presented in Appendix C. Health complaints and accidents will be recorded in a register and will serve as indicators of the plans effectiveness, together with results of workers' annual health check-ups.

2.3 Monitoring, Reporting and Auditing

The Proponent will perform the monitoring plan in Table 3 and conduct regular inspection of its facilities not only for internal purposes but also to satisfy the requirements of the Environmental Management Bureau (EMB) for periodic self-monitoring reports (SMR) and compliance monitoring reports (CMR). Furthermore, asessments will also be initiated during or immediately after incidents that may have compromised the integrity of the Farm's facilities, especially of the MRF and WTF, and caused release of pollutants in the environment. A registry of such incidents and other environmental emergencies and accidents will be maintained in the Farm and its details reported in the SMR.

SMRs and CMRs will contain the results of audits on the Farm's environmental performance in terms of resource utilization, waste management, regulatory compliance, and fulfillment of environmental commitments among others. Copies of these documents will be tendered to EMB quarterly and semi-annually, respectively, as well as to LBP-EPMD (Environmental Program and Management Department) for its reference and review.

The Pollution Control Officer (PCO) has been tasked to ensure that the Farm is compliant with pertinent environmental regulations, including those listed in Table 1 and is performing its environmental commitments, including the implementation of this ESMP.

During the implementation of the CDM Program, LBP-EPMD will conduct monitoring activities in the farm at least twice a year to help the Proponent execute, identify gaps in, and improve and update this management plan.

3 SOCIAL DUE DILIGENCE

3.1 **Consultation and Participation**

Stakeholders of the project have been identified and invited by the proponent, together with LBP-EPMD, through letters and notices to the consultative meeting held on March 20, 2016 (2 PM) in Tupi. The meeting was attended by at least 44 individuals from various institutions, including local officials, and residents of communities near the project site.

All relevant information, especially those that pertain to the Project's environmental and social impacts, was communicated to the stakeholders. The issues and queries they raised were all satisfactorily addressed by the proponent and other presenters.

3.2 Grievance Redress Mechanism

CPA 37's PCO is hereby designated as the main contact person for grievances, feedbacks, and queries related to the project. He is to ensure that the details of complaints and the actions made to address the same will be recorded completely and truthfully in a register. Such information shall be part of the regular monitoring report for the project and will be made available to relevant stakeholders.

The Proponent will make reasonable effort to settle any concern at the project level. Should its attempts be unsuccessful, issues will be raised to the following third party institutions for arbitration and possible resolution:

Office of the Barangay Chairman

Complaints shall be entertained in the *barangay* where the farms are situated. The *barangay* office concerned will facilitate the negotiation process and LBP-EPMD will ensure that the complainant is properly represented.

<u>Municipal Office</u>

Should no agreement be reached at the *barangay* level, the matter will be elevated to a municipal government office. Depending on the nature of the complaint, grievances may be addressed to the Municipal Health Office, Agriculturist Office, Environment and Natural Resources Office, or other relevant municipal agencies.

• <u>LBP</u>

LBP through EPMD will take part on the resolution process only after the aggravated party has gone through the previous levels and finds the decisions rendered there unacceptable. EPMD will coordinate with the proponent to ensure that issues regarding the latter's project are resolved to the best interest of the complainant.

To further ensure the proponent's accountability, contact details of the Farm's management and LPB-EPMD shall be provided to stakeholders during consultations and through postings at public notice boards and at CPA 37's feedmill facility's main gate. For this Project, the following will serve as grievance administrators:

 Prudencio E. Calado III Head/Assistant Vice President, LBP-EPMD Telephone No.: (632) 405-7339 Fax No.: (632) 528-8484

3.3 Information Disclosure

This ESMP and other relevant information regarding the project will be published in LANDBANKS's website where it can be readily accessed by the public. Printed copies of this document will be submitted to EMB Region 12 in LANDBANK's library (1598 M.H. Del Pilar cor Dr. J. Quintos St., Malate, Manila, Philippines), and in the World Bank InfoShop.

3.4 Equal Opportunity

CPA 37 is an equal opportunity employer, not regarding gender, age, disability, and ethnicity in evaluating and hiring potential employees. Presently, its workforce is composed of about 16 individuals. Various farm tasks, including animal handling, are performed by males and females alike.

3.5 **Resettlement**

The Project is located inside the premises of CPA 37, a private property. No individual was displaced for nor were there any indigenous peoples affected by the establishment of the Farm and the Project.

3.6 **Others**

Employees of CPA 37 receive standard basic salaries at the minimum, 13th month pay, and other regular statutory benefits, in addition to free food and lodging at the Farm for stay-in workers.

4 ESMP REVIEW AND UPDATING

This ESMP shall be reviewed annually and will be updated subject to the results of the semiannual monitoring activities conducted by CPA 37 and LBP-EPMD. Reviews may be done more frequently or earlier than schedule, especially after events resulting in significant adverse effect to the environment.

5 INSTITUTIONAL ARRANGEMENTS

5.1 **The Proponent**

CPA 37 will be responsible in all the aspects of the project, including the implementation of this ESMP. It will shoulder all costs associated with the construction and operation of the project, internal monitoring activities, and meeting various statutory requirements. Specifically, it shall / it shall cause the accomplishment of the following:

- exercise environmental and social due diligence in implementing the project
- incorporate sound practices in environmental, health, and safety management
- comply with relevant national and local laws and satisfy regulatory obligations
- perform diligent environmental and system monitoring
- prepare and submit on schedule accurate monitoring reports to EMB and LBP
- cooperate with the LBP and other regulatory agencies by providing assistance and correct and relevant information regarding the project and its environmental performance for reference, review, and monitoring purposes
- promote transparency by maintaining open lines of communication with project stakeholders and giving them access to relevant information
- initiate resolution of conflicts that may arise as a result of the project's operation

The Proponent, in close coordination with LBP, shall implement the Project in accordance with LBP's ESSF and to the agreed activities and timelines stipulated in the memorandum of agreement (MOA) and subproject agreement (SPA) between the said entities.

5.2 LANDBANK

LBP shall serve as the financial and technical intermediary for the CDM Program of Activity (PoA) under which the project of CPA 37 is being implemented. It shall provide the proponent carbon and investment finance assistance for the installation of an anaerobic wastewater treatment facility equipped with a biodigester and methane-fueled power generator. Moreover, it shall act as the entity in charge of project validation and verification activities, and of collation of relevant information and monitoring data for the undertakings mentioned. Specifically, LANDBANK, through EPMD, shall:

- make available financing facilities to the proponent, subject to existing lending policies of LBP
- coordinate and facilitate communications and transactions between the proponent and World Bank or other carbon buyers, designated operational entity, and when necessary, with other project partners
- administer the agreements (MOA, SPA) forged between LBP and the proponent
- provide technical support and relevant trainings to farm owners and personnel in partnership with other institutions
- ensure compliance of the project and its proponent with the rules governing PoAs and with its commitments in the MOA and SPA
- ensure compliance of the project and its proponent with relevant standards and regulations and environmental commitments by conducting onsite monitoring and evaluation and desk reviews
- provide assistance to the Proponent in complying with statutory requirements for the project

- ensure the Project's sustainability by monitoring the long-term implementation of the safeguards specified in this ESMP and its environmental performance in general
- gather, collate, and review pertinent information and documents (including safeguard instruments, reports, and permits and clearances) concerning the Project
- participate in conflict resolution initiated by the Proponent
- prepare and submit monitoring reports to World Bank regularly
- satisfy its obligations under the Emissions Reduction Purchase Agreement between LBP and World Bank

LBP shall assist the proponent in its implementation of the project in accordance with LBP's Safeguards Framework and the agreed activities and timelines stipulated in the MOA and SPA.

5.3 **Department of Environment and Natural Resources**

DENR is the primary government institution mandated to manage and protect the Philippines' environment and natural resources. It is also the Designated National Authority (DNA) of the CDM Program in the Philippines. As DNA, its main role is to review and endorse PoAs to the United Nations Framework Convention on Climate Change.

5.3.1 Environmental Management Bureau

Through the EMB, DENR sanctions and regulates the activities of the project by means of various legal instruments. EMB also leads (whether or not as part of a multi-partite monitoring team) the periodic monitoring of the project's compliance and impacts, including the fulfillment of the commitments stated in this ESMP. Prior to construction, EMB was the agency tasked to review and evaluate the environmental soundness of the project and authorize its establishment through the issuance of an Environmental Compliance Certificate.

5.4 Municipal Government

The municipal government of Tupi licenses the operation of CPA 37 through the issuance of a business permit. This permit is only given to businesses able to satisfy its prerequisites – building and occupancy permits, zoning clearance, sanitary permit, and fire clearance among others.

Agencies and offices of the municipal government of Tupi will also, if necessary, lead / facilitate the resolution of complaints arising from the Farm and the Project's operations.

5.5 World Bank

The World Bank is the main carbon buyer of the project, but will also serve as an advisor to LPB in carrying out the latter's responsibilities as the coordinating and managing entity for CDM projects. The Bank will conduct regular monitoring, audits, and appraisals on the Project's safeguards performance against its established policies, as well as provide technical guidance to LBP and to the proponent.

6 SUB-PROJECT ACCOUNTABILITY

In line with Section 3.02 on *Sub-Project Development and Operation by the Sub-Project Entity*, Item (q) of the Sub-Project Purchase Agreement (SPA) signed by the Farm Management, the Sub-Project Entity (Farm Management) agrees and undertakes to:

(q) implement and operate the Sub-Project in compliance with the World bank Operational Policies, including without limitation and as applicable, the Environmental Management Plan, Resettlement Plan, Indigenous Peoples Plan, and any other requirement resulting from the application of the World Bank Operational Policies.

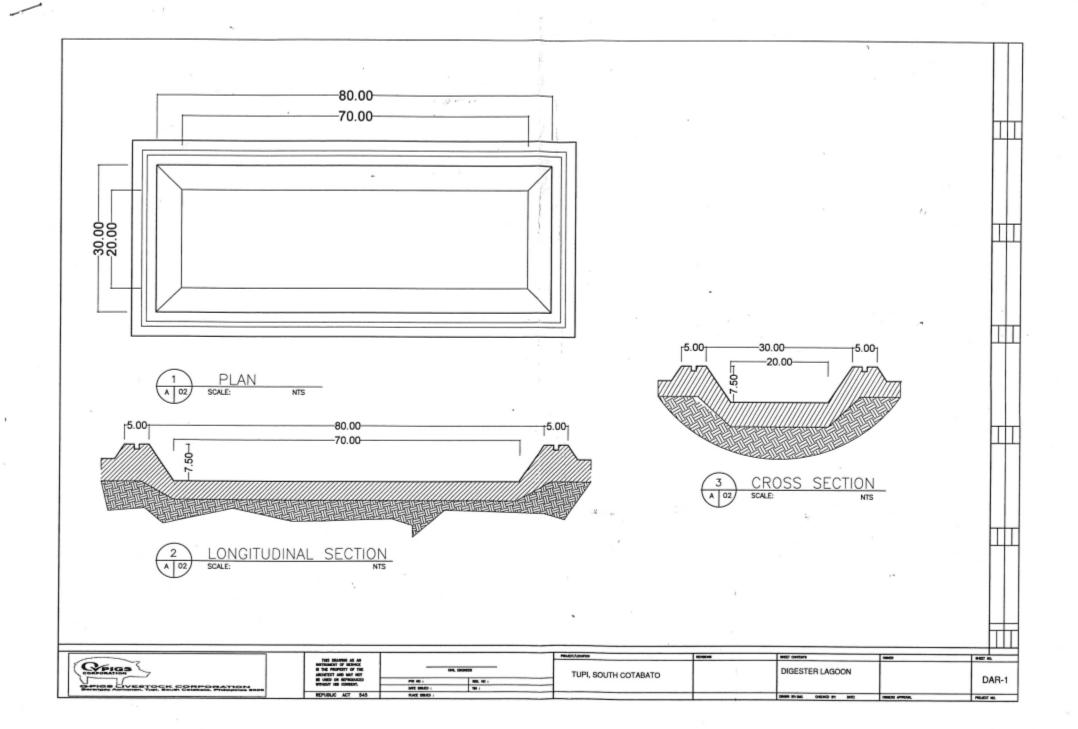
Having signed the SPA, the Farm Management is accountable to comply with the commitments stated in this document.

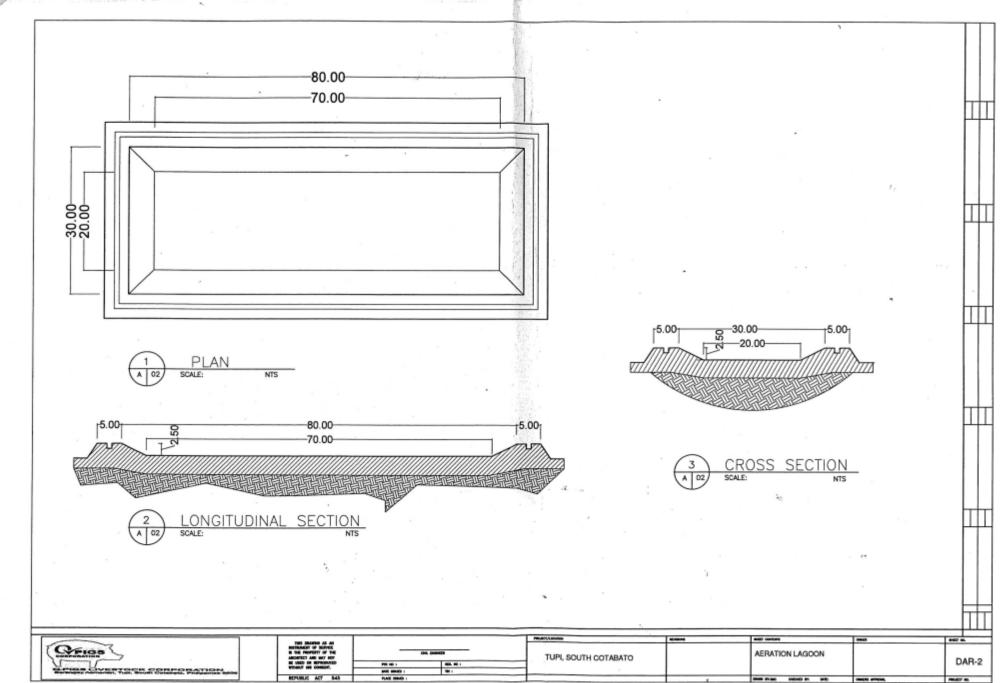
REFERENCES

- 1 en.climate-data.org
- 2 bmp.philrice.gov.ph

APPENDICES

- A Project Design, Plan and Specifications
- B Evacuation Plan
- C Health and Safety Risk Management Plan

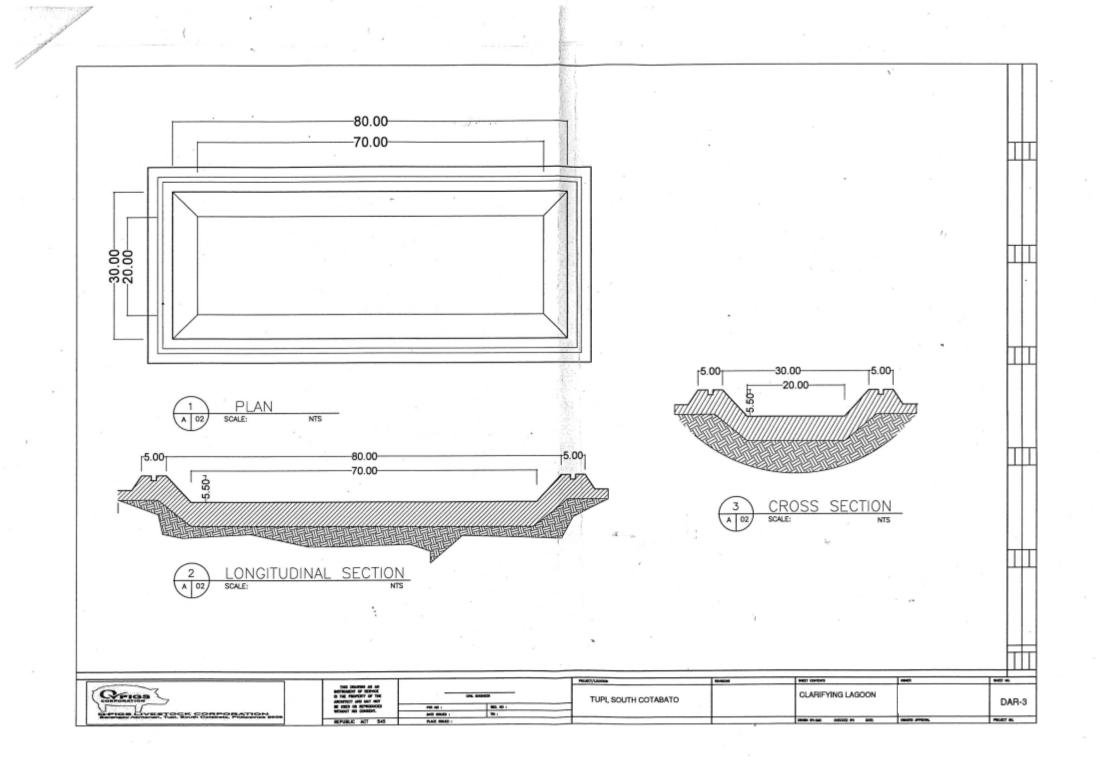


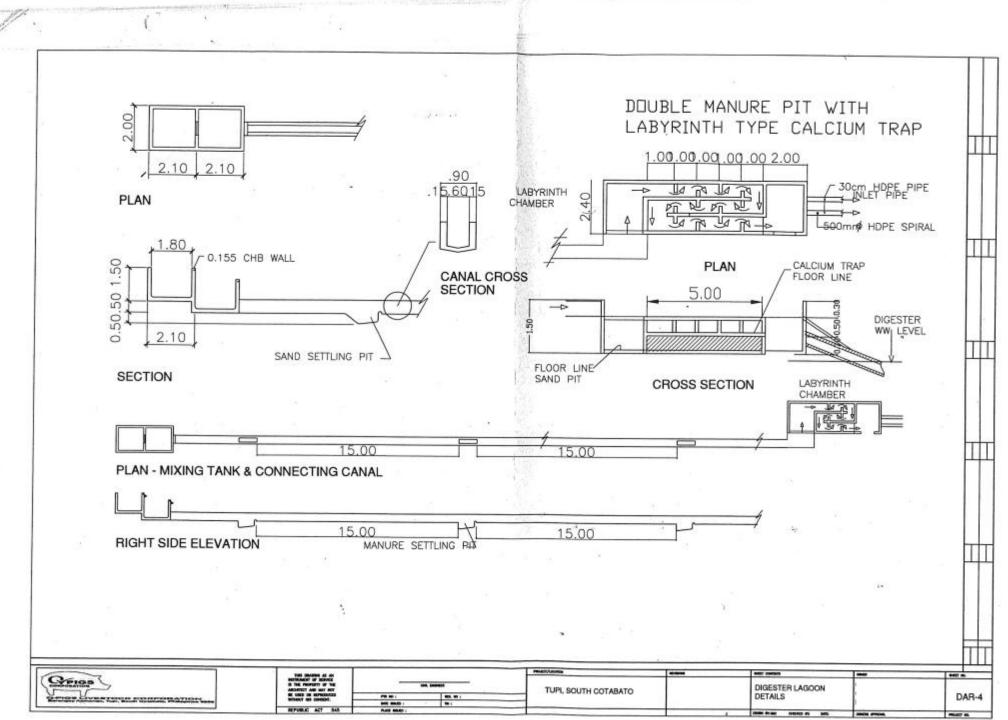


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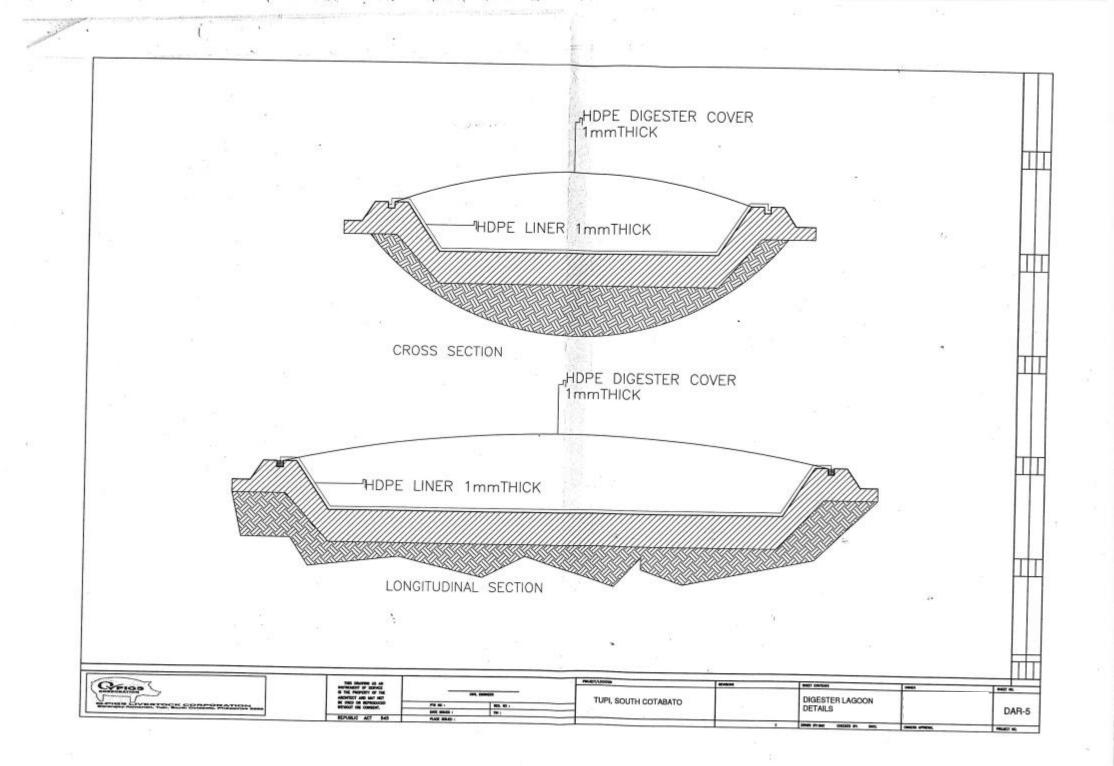
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APPENDIX B

Site Evacuation Plan



CPA 37 Point Persons:

Operations Manage: <name> <contact details> PCO: <name> <contact details> Biodigester / Genset Maintenance Team: <name> <contact details>

Local Emergency Contact Details:

911 Provincial Incident Response Management (PIRM)

BUREAU OF FIRE PROTECTION - TUPI 822 0122 TUPI POLICE_226 2802 POLOMOLOK MUNICIPAL HOSPITAL: 225 6014 SOCOTECO 822-0097

APPENDIX C

Health and Safety Risks Management Plan of CPA 37

Hazard	Possible Harm	Source / Cause	Prevention / Minimization*	Person/s Responsible	
physical	discomfort bearing		- Wear appropriato DDE (oar protestica)	Farm D.	
noise	discomfort, hearing damage	pig squeals running machineries and vehicles	 wear appropriate PPE (ear protection) install noise-control devices when applicable regular equipment inspection and maintenance equipment housed in enclosed structure, if applicable schedule shifting duties install signage and warnings wear appropriate PPE (ear protection) 	Farm Personnel Team Leader Farm Personnel	
vibration	discomfort, ergonomic and nerve injuries, fatigue	running machineries	 ensure all loose equipment are securely placed perform regular equipment inspection and maintenance install signage and warnings 	Team Leader Farm Personnel	
electricity	shock, electrocution, burns	faulty machineries and power lines	 get services of a licensed electrician consult equipment manual perform regular equipment inspection and maintenance 	Maintenance Farm Personnel	
		improper use (or servicing) of electrical equipment	 restrict access to equipment install signage and warnings train staff (consult equipment manual) wear appropriate PPE 		
heat	burns	running machineries (hot surfaces, vapors, liquids)	 use insulation where possible install machine guards install signage and warnings wear appropriate PPE (such as long sleeved shirts) 	Maintenance Farm Personnel	
	discomfort, heat exhaustion, heat stroke	working in enclosed spaces with limited ventilation	- adequate hydration and rest breaks	Lead Man	
dust	irritation, respiratory distress / diseases	feeds, ambient dust	 calm work pacing to avoid exciting the pigs thorough cleaning of indoor spaces PPEs (mask) 	Farm Personnel	
poor lighting	eye strain, can't see hazards	unlit / inadequately lit areas	 install light sources carry portable light sources work during daytime whenever possible 	Team Leader Farm Personnel	
chemical					
harmful gases, dust, vapors (inhalation)	discomfort (odor), asphyxiation, poisoning, respiratory distress / diseases	degrading organic wastes hazardous substances (cleaning and pest control chemicals, veterinary medicines, fuels, hazardous wastes, etc.)	 observe measures for odor control install signage and warning labels train staff (on handling hazardous substances and wastes and working in confined spaces; review MSDS / product information sheets) wear appropriate PPE (mask) ensure first aid kits are readily available 	PCO Team Leader Farm Personnel	
		fuel burning (machineries, vehicles)	 perform regular equipment inspection and maintenance 	Maintenance	
		fugitive gases	 perform regular inspection and maintenance of biogas system 	Maintenance	
hazardous substances (contact, ingestion)	irritation, burns, poisoning, skin problems	hazardous substances (cleaning and pest control chemicals, veterinary medicines, fuels, hazardous wastes, etc.)	 use proper labeling, containers, and storage restrict access to chemical and hazardous waste storage train staff (handling hazardous substances and wastes; review MSDS / product information sheets) only competent staff should administer veterinary medicines ensure first aid kits are readily available PPEs (gloves, eye glasses) 	PCO Team Leader	
biological					
pathogens / infectious agents, toxins and other products	various infectious diseases, parasites, irritation		 observe proper disposal of animal and veterinary wastes implement quarantine measures good housekeeping practices (disinfection) practice hygienic practices (especially hand hygiene) perform workers' regular health examination train staff (on animal handling, proper waste handling and disposal) wear appropriate PPE (gloves, mask, goggles) 	y PCO Veterinarians Team Leader	
		insects, pests, vermin	 proper disposal of odorous wastes good housekeeping practices implement pest control measures 	Farm Personnel	
ergonomic ergonomic	ergonomic injuries	repetitive actions, forceful	- use aid of appropriate equipment for	Team Leader	
stress		exertions, sustained awkward posture	 use and or appropriate equipment for lifting/moving heavy objects use of proper lifting techniques implement buddy system at work ensure job rotation / adequate rest (in between tasks) 	Farm Personnel	
		improper use of equipment use of faulty equipment	 train staff (consult manuals) repair or replace equipment 	Team Leader Farm Personnel Team Leader	
other accidents	and contingencies				
slips, trips, falls	injuries, wounds, contusions	spills (slips) various objects, debris (trips) heights, slips (falls)	 maintenance of walkways daily safety briefings and regular trainings barricading of work areas wearing of appropriate PPE 	Maintenance Farm Personnel	
entanglement	injuries, wounds, strangulation	machineries	 install machine guards tie back long hair wear long sleeve shirts 	Farm Personnel	

blows, punctures sharps	injuries, wounds, contusions sharps injuries, wounds	pig handling veterinary activities, waste handling	 avoid wearing loose-fitting clothes and personal accessories regular equipment inspection and maintenance use animal restraints ensure enough space to maneuver train staff (animal handling techniques) wear appropriate PPE (boots, gloves, etc.) ensure only trained personnel conduct veterinary activities wear appropriate PPE (gloves, goggles) 	Team Leader Farm Personnel Team Leader Farm Personnel
fires	burns	faulty electrical systems, explosions, fugitive gases, accidental ignition	 wear appropriate PPE (gloves, goggles) comply with requirements and regulations of fire authorities provide adequate and proper (multipurpose) fire protection equipment designate smoking areas away from digester, gas tanks, and electrical equipment and storage of combustible materials (compost, sludge, chemicals) regular clearing of vegetation near farm structures install signage and warnings train staff (on contingency plan and proper equipment use) perform regular inspection and maintenance of electrical systems and equipment 	Maintenance
blast	blast injuries	excessive pressure in biodigester, fugitive gases, contained gases in confined spaces, fires	 keep sources of heat, including machineries, at a safe distance from biogas facility prohibit smoking and use of cellphones around biogas system and gas storage facilities perform regular inspection and maintenance of MRF install signage and warnings 	Maintenance

* Shaded rows / items applicable for Anaerobic Digestion System